

# Antithrombin Deficiency

An In-Depth Guide for Patients and Health Care Providers

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# NATT Mission

To prevent, diagnose and treat thrombosis and thrombophilia through research, education, support and advocacy.

### ABBREVIATIONS

- AT deficiency = antithrombin deficiency
- DVT = deep vein thrombosis; a blood clot in the deep veins of legs, arms, abdomen, or around the brain.
- PE = pulmonary embolism; a blood clot in the lung.

# WHAT IS ANTITHROMBIN (AT)?

Antithrombin is a protein in our blood stream, which functions as a naturally occurring mild blood thinner. It is like a police protein that prevents us from clotting too much. It blocks our blood clotting mechanism by inactivating the major clotting protein "thrombin." It is, therefore, called "anti-thrombin." While antithrombin III was the original name given to this protein, the correct name now is just antithrombin, with the "III" dropped. Common names and abbreviations for the same protein are antithrombin, antithrombin III, AT, ATIII, and heparin cofactor I.

### WHY IS ANTITHROMBIN IMPORTANT?

Antithrombin protects us from clotting too much. If antithrombin levels are low, a person will have a tendency to clot more easily. If antithrombin levels are too high, a person could, theoretically, have a bleeding tendency. However, elevated levels of antithrombin do not appear to cause bleeding or have any clinical significance.

### HOW ARE ANTITHROMBIN LEVELS MEASURED?

The best test to determine whether a patient has AT deficiency is a blood test called "AT activity" or "functional AT". Any physician's office can order the antithrombin tests and many laboratories can perform them. Two different antithrombin tests can be done, (a) an antithrombin antigen level and (b) an antithrombin activity level (also called "functional test"). The antithrombin antigen test determines how much of the protein is present in the blood. The antithrombin that is present actually works. There are 2 main types of antithrombin deficiency (AT deficiency), depending on which of these two tests results is low. They are referred to as "type 1" and "type 2" deficiency.

Activity and antigen levels are typically expressed in "percent." Normal ranges differ from lab to

lab, but typically are approximately 80% - 120 %. Healthy newborns have only half the antithrombin levels of adults, but gradually reach the adult levels by 6 months of age. This is important to keep in mind when interpreting the tests of newborn children. Being on birth control pills, hormone replacement therapy, or being pregnant does not change antithrombin test results significantly and results are, thus, reliable. However, being on warfarin (coumadin<sup>®</sup>, Jantoven<sup>®</sup>) can increase antithrombin levels; therefore, a normal level while a person is on warfarin does not absolutely rule out the presence of AT deficiency. Once a patient is off warfarin the antithrombin activity test should be repeated. There are many different mutations in the antithrombin gene that can lead to inherited AT deficiency. Genetic testing is, therefore, not possible in routine clinical practice. It is reserved for research studies. Patients with inherited AT deficiency typically have levels in the 40% - 60 % range. It is not well established whether the degree of AT decrease correlates with the risk of thrombosis, i.e. whether patients with lower values have a higher risk for thrombosis.

### **ANTITHROMBIN DEFICIENCY**

Inherited AT deficiency increases the risk for blood clots, acquired AT deficiency often does not. There are 2 major causes of AT deficiency: (a) an inherited deficiency due to a genetic abnormality (mutation), and (b) an acquired deficiency due to some other disease (see table, numbers 1-3). There are also several conditions under which a person temporarily has low antithrombin levels (see table, numbers 4-8), but levels return to normal once the condition has resolved. If antithrombin levels are measured at the time of an acute clot or while the patient is on heparin, levels may be temporarily low. However, they typically return to normal once the patient has recovered from the acute clot (within a few days to weeks) or when heparin is discontinued. This is important to know to avoid a wrong diagnosis of "AT deficiency" if low values are found. A definitive diagnosis of hereditary deficiency is sometimes

difficult to make because of these interfering causes. Repeat testing at a later time to confirm a low level is always advisable to make a definitive diagnosis. Sometimes, family testing is necessary to help clarify the diagnosis of inherited versus acquired deficiency. Practical advice for any patient who carries the diagnosis of "AT deficiency" is to question the diagnosis and make sure the diagnosis was not based on a low level obtained at the time of an acute clot. Sometimes, AT levels increase when a person is on warfarin. Therefore, normal levels during warfarin therapy do not reliably rule out AT deficiency. Rechecking a level once a patient is off warfarin is appropriate.

### (A) Inherited Antithrombin Deficiency

Inherited AT deficiency is equally common in men and women. A person's risk for blood clots depends on a number of factors, such as the degree and type of deficiency, the family history of clots, and other risk factors for clots (such as pregnancy or estrogen therapy, surgery or immobility, obesity, smoking and others). Inherited AT deficiency is an uncommon genetic disorder. It occurs in 0.2 - 0.02 % of the general population, i.e. 1 out of 500 - 5,000 people has it. Thus, there may be between 60,000 and 600,000 people with this disorder in the U.S. It is inherited in a dominant pattern, i.e. there is a 50 % chance that a child will have the disorder if one of the parents has it. Men and women are equally affected. It is independent of blood types. If a person has inherited one defective (mutated) antithrombin gene, he/she is heterozygous. If an individual has inherited 2 defective (mutated) genes, he/she is homozygous. Homozygous individuals rarely survive and the fetus usually dies before birth; a miscarriage results. A classification of "type I" and "type II" deficiency distinguishes the different types of hereditary AT deficiency.

# (1) Type I Deficiency

If an individual does not produce enough antithrombin, antigen and activity levels are both low. This is called type 1 deficiency, or a quantitative deficiency. It is either due to an inherited gene defect, or due to an acquired problem, where less antithrombin is made in the liver (such as in liver cirrhosis) or antithrombin is lost in the urine (as may happen in certain kidney diseases).

# (2) Type II Deficiency

Type IIb deficiency may be the least severe of all antithrombin deficiencies. Some people produce normal amounts of the antithrombin protein, yet the protein has an abnormal structure and, therefore, does not work right. This is called type 2 deficiency. It is due to an inherited defect (mutation). In this type of deficiency, the antigen level is normal, but the activity level is low. A normal antithrombin antigen level, therefore, never fully rules out an AT deficiency. Thus, to fully rule out AT deficiency, one always needs to obtain an antithrombin activity level. The antithrombin activity level is the best initial test to obtain if one suspects that a patient may have AT deficiency. The type 2 defects are further subclassified into type IIa, IIb, and IIc defects, based on the location of the defect in the antithrombin molecule. The conventional wisdom is that it does not matter for clinical management purposes what type of deficiency a person with AT deficiency has. However, there is a subtype of type II deficiency, called type IIb, which is the most common type of antithrombin deficiency. It is caused by an abnormality in the heparinbinding region of the antithrombin molecule. Interestingly, it is associated with only a very low risk for blood clots. Most clinicians do not order specialized testing to clarify exactly what type of antithrombin deficiency a patient has, but it may be important. An individual who has been found to have antithrombin deficiency, but has never had a clot, may want to know whether he/she has type IIb deficiency, or one of the other types. If he/she has type IIb, he/she would have to worry less about the future risk for blood clots.

People with AT deficiency are at increased risk for blood clots in veins, such as clots in the veins of the leg (called deep vein thrombosis or DVT) and clots in the lung (called pulmonary embolism or

PE). Other venous clots may also occur: in the arm (upper extremity DVT), intestinal tract (portal vein thrombosis, Budd-Chiari syndrome, etc.), or around the brain (sinus or cerebral vein thrombosis). The risk to develop clots in the veins can be quite high, but this can vary from family to family. This variation depends on where in the antithrombin protein the inherited abnormality is; some is due to the presence or absence of other clotting disorders; and some of this variation is not well understood. In general, approximately 50% of individuals with AT deficiency will develop a blood clot during their life. A significant number of individuals develop clots before they are 30 years old; however, quite a few people also reach old age without ever developing a clot. As discussed earlier, having the type IIb antithrombin deficiency may not be all that much of a risk factor for blood clots. AT deficiency does not appear to be a risk factor for clots in arteries (strokes or heart attacks).

Many physicians will recommend that an individual with true AT deficiency who has had a blood clot should be on indefinite warfarin (Coumadin<sup>®</sup>, Jantoven<sup>®</sup>) therapy. If a person has AT deficiency but has never had a blood clot, it is difficult to decide whether to start long-term warfarin therapy or not. In this case, other factors need to be considered: does the person have additional risk factors for blood clots, such as obesity, smoking, a sedentary lifestyle, presence of an additional clotting disorder or a family history of blood clots. Also, the degree of AT deficiency should be factored in, as should the type of deficiency. Clearly, an individual decision needs to be made whether the person should be on long-term blood thinners or not.

### (B) Acquired Antithrombin Deficiency

Acquired AT deficiency is not uncommon. Low levels of antithrombin can be found in patients with the conditions listed in the table below. Typically, acquired AT deficiency does not lead to an increased risk of blood clots. This is because in these conditions clotting factors other than antithrombin are frequently also lowered.

### CAUSES OF ACQUIRED ANTITHROMBIN DEFICIENCY

- 1. Liver failure (such as liver cirrhosis)
- 2. Nephrotic syndrome (a kidney disorder)
- 3. Widespread (metastatic) tumors
- 4. Acute blood clots
- 5. Heparin therapy
- 6. DIC (= disseminated intravascular coagulation)\*
- 7. Severe trauma
- 8. Severe burns
- 9. Chemotherapy with Asparaginase

\* a generalized clotting and bleeding disorder that is often associated with infection in the blood stream (sepsis)

### **ANTITHROMBIN CONCENTRATES**

A person with AT deficiency may be given intravenous AT concentrate at times of increased risk for blood clots (surgery, delivery). AT concentrate may also be given when prophylaxis against blood clots with blood thinners cannot be safely used because of an increased risk for bleeding (neurosurgery). It is not well established which individuals with AT deficiency need to receive concentrates and which ones not. No guidelines exist as to which patients with AT deficiency should receive antithrombin concentrate. Typically, treatment is given only (a) at times of increased risk for clotting, or (b) when the blood thinner heparin cannot be safely given because it would lead to an increased risk for bleeding. These situations are major surgery, major trauma, and delivery. Two types of antithrombin preparations (drugs) are available in the U.S.

(a) Human plasma-derived antithrombin (Thrombate III<sup>®</sup> in the U.S.; by Talecris Biopharmaceuticals; several other products in the rest of the world).

This is a highly purified, human, blood-derived antithrombin protein concentrate, FDA approved in 1991. It is prepared from the blood of tens of thousands of donors, similar to the preparation of clotting factor VIII for hemophilia patients. The blood of each individual donor is screened for hepatitis, HIV, and other viruses, such as parvovirus B-19. The part of the blood called plasma is then highly purified, resulting in an antithrombin concentrate. Any potentially contaminating viruses are inactivated and killed using one or more different methods, such as heat inactivation or special filtration techniques.

(b) Recombinant antithrombin (ATryn®; in the U.S. by Lundbeck Inc., manufactured by GTC Biotherapeutics, Inc.). ATryn® is produced using recombinant genetic technology, and is not derived from human blood, serum, plasma, or formulated with such components. It was approved for use in the U.S. by the FDA in February 2009. Using this technology, the human antithrombin gene has been inserted into the cells of a founder goat and, through breeding and genetic inheritance, offspring produce high concentrations of human antithrombin in their milk. These animals are part of a USDA (United States Department of Agriculture ) certified scrapiefree goat herd kept in a high security goat farm and regularly tested by veterinarians. The antithrombin is purified from milk and concentrated. Any potentially contaminating animal proteins or viruses are removed or killed by several steps in the purification process, including so-called nanofiltration and heat inactivation.

### **HEPARIN RESISTANCE**

In some patients with AT deficiency who need heparin therapy, antithrombin concentrate may have to be given so that heparin can work optimally. Heparin (including the low molecular weight heparins, such as Fragmin<sup>®</sup>, Innohep<sup>®</sup>, Lovenox<sup>®</sup>, etc.) may not thin the blood optimally if an individual has low antithrombin levels. This is because heparin's effect depends on the presence of antithrombin. In such cases, higher than normal heparin doses may need to be given to reach full protection against blood clots. In some circumstances, a patient can be "heparin resistant" and will not respond effectively to heparin at all – even at higher doses. In these situations, treatment with intravenous antithrombin concentrates can be considered.

### ANTITHROMBIN DEFICIENCY IN CHILDREN

Parents of children with AT deficiency need to be aware of the symptoms of blood clots. Blood clots are uncommon in children with AT deficiency, probably because another naturally occurring blood thinner (2-macroglobulin) is higher during the first two decades of life, protecting most children from blood clots. However, there have been several reports of clots occurring in newborns with AT deficiency. Discussion between the expectant parents, of whom one parent has AT deficiency, and the hematologist ("blood doctor") and perinatologist (a physician who deals with complications or high risk before or after birth) should be held prior to delivery. Parents need to be aware of the symptoms of blood clots, should they occur in their baby.

Most newborn infants with AT deficiency do not need preventive treatment with heparin or AT concentrate, but may benefit from particularly careful attention to hydration and their kidney and circulatory function. Most children with AT deficiency do not develop blood clots unless there is an additional triggering event, such as surgery, trauma, a catheter, or severe infection. Children known to have AT deficiency may receive preventive therapy with blood thinners around trigger events.

Children with underlying medical conditions that cause acquired antithrombin deficiency, such as nephrotic syndrome (a kidney disorder), protein losing enteropathy (an intestinal disorder) and Lasparaginase chemotherapy for leukemia, have an increased risk of thrombosis. Although it is not clear how much of the thrombotic risk is actually caused by AT deficiency, children who develop clots with such acquired AT deficiency may benefit from antithrombin concentrate to treat the acute clot, and may benefit from blood thinning therapies (including antithrombin) to prevent further blood clots.

### ANTITHROMBIN DEFICIENCY AND PREGNANCY

Women with AT deficiency are at particularly high risk for developing clots during pregnancy and after delivery. The exact risk of developing blood clots during pregnancy is impossible to determine accurately. One study showed that only 3 % of pregnancies will be complicated by a blood clot if no concomitant prophylactic blood thinners are given. However, other studies have shown that blood clots occur in up to 50 % of pregnancies. Treatment with heparin injections underneath the skin ("subcutaneously") during pregnancy should strongly be considered to prevent blood clots. However, no well designed clinical studies exist that allow strong recommendations as to how exactly to treat pregnant women (dose of heparin; treatment with antithrombin concentrate, etc.). Some physicians recommend antithrombin replacement therapy during delivery, when heparin may be contraindicated, since heparin might lead to an increased risk of bleeding. AT concentrate may also be given for a few days after delivery, together with heparin. Warfarin is not used during pregnancy because it may cause birth defects. However, for 6-12 weeks postpartum, warfarin should be considered, because there is a high risk for blood clots in the post-delivery period. A summary of 45 cases of pregnancy in women with AT deficiency with detailed information concerning prophylactic therapy with heparin and/or antithrombin has recently been published. However, no treatment guidelines can be derived from that publication, since many different regimens were used.

Women with AT deficiency also have an increased risk for pregnancy loss, either early (miscarriage) or late (stillbirth) in the pregnancy. This is probably due to blood clots forming in the placenta, leading to blockage of blood flow and oxygen delivery to the fetus. Approximately 1 of 6 pregnancies in women with antithrombin deficiency (17 %) will end with an early fetal loss, and 1 in 40 pregnancies (2.3 %) will end with a stillbirth if no blood thinners are given. Therapy with heparin with or without antithrombin throughout the pregnancy likely decreases that risk.

### ANTITHROMBIN DEFICIENCY AND SURGERY OR TRAUMA

Individuals with AT deficiency need very good DVT prophylaxis with blood thinners at times of surgery or major trauma; treatment with antithrombin concentrate during these times can also be considered. Major surgery and trauma are risk factors for blood clots (deep vein thrombosis or pulmonary embolism) in anybody; but they are an even greater risk for the person with AT deficiency. Extra attention to DVT prophylaxis is therefore indicated, typically with one of the heparin drugs. If trauma or excessive risk for bleeding (for example neurosurgery) does not allow physicians to give the blood thinner, antithrombin concentrate is indicated; also, placement of a removable filter into the inferior vena cava, the big vein of the abdomen, may be considered. Such a filter can capture blood clots that have formed in the leg and are traveling upstream on their way to the lung. They can, thus, prevent life-threatening pulmonary embolism. Antithrombin concentrate may be given for the first few days after surgery. Depending on the type and extent of the surgery, prolonged use of blood thinners for several weeks after surgery may be appropriate.

### FAMILY TESTING

Other family members should consider getting tested. If a person has been diagnosed with AT deficiency and has none of the acquired factors or disorders that cause acquired AT deficiency (see table), an inherited AT deficiency may be present. It is then appropriate to inform other family members (children, parents, uncles and aunts) of the diagnosis. These family members should seriously consider getting tested, and an antithrombin activity test should be done. Making a correct diagnosis of inherited AT deficiency can be challenging. Treatment decisions (consideration of initiation of warfarin therapy in a person who has never had a blood clot; length of therapy with blood thinners in the person who has had a blood clot; pregnancy management) can be difficult. It is important to work with a knowledgeable health care provider. Hematologists ("blood doctors"), preferably associated with a Thrombosis or Thrombophilia Center, often have the most experience dealing with clotting disorders, such as antithrombin deficiency.

### **KEY ISSUES**

- If you have been diagnosed with antithrombin deficiency: question the diagnosis. Be aware that misdiagnosis may occur if the timing of testing and interpretation of the result was incorrect.
- Be sure you ask your doctor whether you have an acquired deficiency (not relevant for other family members) or an inherited deficiency (other family members should consider getting tested).
- If you have inherited AT deficiency, consider being evaluated by a thrombosis specialist (typically a hematologist) at a specialized Thrombosis Center.
- Know the symptoms of blood clots in the legs (deep vein thrombosis = DVT: Swelling, usually in one leg; Leg pain or tenderness; Reddish or bluish skin discoloration; Leg warm to touch) or lung (pulmonary embolism = PE: Sudden shortness of breath; Chest pain-sharp, stabbing; may get worse with deep breath; Rapid heart rate; Unexplained cough, sometimes with bloody mucus) and make lifestyle changes (lose weight, stop smoking, consider stopping estrogen therapy, i.e. birth control pill, patch or ring and hormone replacement therapy).
- If you have inherited AT deficiency, make sure you get very good DVT prophylaxis in risk situations (surgery, major trauma, prolonged immobility, pregnancy).

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